

Robert W. Esmono Lawrence B. Bugaisky Donald J. Featherston

Timothy J. Shea, Jr. Patrick E. Garrett Crystal D. Sayles Edward W. Yee Donald R. Banowit Peter A. Jackman leffrey S. Weaver endrick P. Patterson Vincent L. Capuano Albert J. Fasulo II* Eldora Ellison Floyd

Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston Reginald D. Lucas Theodore A. Wood Elizabeth J. Haanes Bruce E. Chalker Joseph S. Ostroff Frank R. Cottingham* Christine M. Lhulier Rae Lynn Prengaman* Jane Shershenovich*

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Samuel L. Fox Kenneth C. Bass III Lisa A. Dunner

- *Admitted only in Maryland *Admitted only in Virginia *Admitted only in Texas *Practice Limited to
- Federal Agencies

September 25, 2002

WRITER'S DIRECT NUMBER: (202) 371-2543 INTERNET ADDRESS: AKAMAGE@SKGF.COM

Commissioner for Patents Washington, D.C. 20231

Art Unit 1641

Re:

U.S. Utility Patent Application

Appl. No. 09/977,283; Filed: October 16, 2001

Composition and Method For Enhancing Fibrinolysis For:

Inventor:

Guy L. Reed

Our Ref:

0609.4320003/JAG/AJK

TECH CENTER 1600/2900

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Form PTO-1449, 40 pages, listing 168 documents;
- 3. One copy of each of the 168 cited documents on Form PTO-1449; and
- 4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

Commissioner for Patents September 25, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

andrea do Kamage

Andrea Jo Kamage

Agent for Applicants

Registration No. 43,703

JAG/AJK/jk Enclosures

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Guy L. Reed

Appl. No. 09/977,283

Filed: October 16, 2001

For:

Composition and Method For

Enhancing Fibrinolysis

Confirmation No. 2070

Art Unit: 1641

Examiner: To Be Assigned

Atty. Docket: 0609.4320003/JAG/AJK

Information Disclosure Statement

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each document is provided.

The Examiner's attention is directed to the following co-pending U.S. Patent Application, which is directed to related technical subject matter: U.S. Patent Application No. 08/934,000, filed September 19, 1997, and submitted herewith as Document AS40.

The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to this application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, in regards to non-English language documents AT38 and AP3 cited on Form PTO 1449:

Document AT38 is in a foreign language. An English language translation of document AT38 is attached as document AT39.

Document AP3 is in a foreign language. An English language abstract of document AP3 is attached as document AS39. Document AP3 appears to show a monoclonal antibody which binds to α 2-plasmin-inhibitors and inhibits the fibrinolysis inhibitory action of α 2-plasmin-inhibitor.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

andrea Jokamage

Andrea Jo Kamage Agent for Applicant

Registration No. 43,703

Date: 9/25/02

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600

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communication to Applicant.

TECH CENTER 1600 12900 APPLICATION NO. ATTY. DOCKET NO. 0609.4320003/JAG/AJK 09/977,283 FORM PTO-1449 APPLICANT Reed INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP October 16, 2001 1641 U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUB-FILING DATE INITIAL CLASS AA1 4,147,765 04/03/1979 Stephan et al. 424 12 07/16/1976 AB1 4,198,335 04/15/1980 Collen 260 112 03/08/1977 4,245,040 01/13/1981 Pilgeram 435 13 11/20/1978 AC1 AD1 4,273,873 06/16/1981 Sugitachi et al. 435 180 10/25/1977 08/24/1982 Collen 260 112 AE1 4,346,029 01/22/1979 AF1 4,368,149 01/11/1983 Masuho et al. 260 112 12/16/1981 260 112 AG1 4,414,148 11/08/1983 Jansen et al. 04/14/1982 AH1 4,421,735 12/20/1983 Haber et al. 424 1.1 04/17/1980 4,455,290 AI1 06/19/1984 424 1.1 04/02/1981 Olexa et al. Auditore-Hargreaves 260 112 AJ1 4,470,925 09/11/1984 10/04/1983 AK1 4,474,893 10/02/1984 Reading 436 547 07/01/1981 FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS AL1 EP 0 063 002 A2 10/20/1982 Europe No Yes EP 0 088 994 A2 09/21/1983 AM1 Europe No Yes AN1 WO 83/03678 A1 10/27/1983 PCT No Yes WO 83/03679 A1 10/27/1983 PCT A01 No Yes AP1 WO 83/03971 A1 11/24/1983 PCT No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Agnelli, G., et al., "The Comparative Effects of Recombinant Hirudin (CGP 39393) AR 1 and Standard Heparin on Thrombus Growth in Rabbits," Thromb. Haemost. 63:204-207, F.K. Schattauer Verlagsgesellschaft mbH (1990). Agnelli, G., et al., " A Comparison of the thrombolytic and hemorrhagic effects AS of tissue-type plasminogen activator and streptokinase in rabbits, " Circulation 1 72:178-182, American Heart Association, Inc. (1985). Agnelli, G., et al., "Sustained Thrombolysis With DNA-Recombinant Tissue Type AΤ Plasminogen Activator in Rabbits," Blood 66:399-401, Grune and Stratton, Inc. 1 (1985).EXAMINER DATE CONSIDERED **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
0609.4320003/JAG/AJK

APPLICATION NO.
09/977,283

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			U.S. PAT	ENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA2	4,545,988	10/08/1985	Nakayama et al.	424	94	12/18/1984
	AB2	4,671,958	06/09/1987	Rodwell et al.	424	85	03/09/1982
	AC2	4,673,573	06/16/1987	Ferres et al.	424	94	01/09/1985
	AD2	4,722,903	02/02/1988	Kudryk et al.	435	7	01/03/1984
	AE2	4,758,524	07/19/1988	Bundesen et al.	436	548	03/15/1984
	AF2	4,833,085	05/23/1989	Schaumann et al.	435	240.27	08/13/1986
	AG2	4,916,070	04/10/1990	Matsueda <i>et al</i> .	435	172.2	04/14/1986
	AH2	4,927,916	05/22/1990	Matsueda et al.	530	387	01/30/1986
	AI2	5,116,613	05/26/1992	Haber et al.	424	85.8	01/08/1985
	AJ2	5,372,812	12/13/1994	Reed et al.	424	145.1	09/10/1992
	AK2	5,582,862	12/10/1996	Reed et al.	424	145.1	12/01/1992
			FOREIGN PA	TENT DOCUMENTS			** ***
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL2	AU-B-25387/84	09/20/1984	Australia			Yes No
	AM2	EP 0 120 694 A2	10/03/1984	Europe			Yes No
	AN2	EP 0 125 023 A1	11/14/1984	Europe			Yes No
	A02	WO 85/00974 A1	03/14/1985	PCT			Yes No
	AP2	EP 0 142 905 A2	05/29/1985	Europe			Yes No
		OTHER (Includia	ng Author, Tit	le, Date, Pertinent Pa	ges, etc.)		
	AR	2 supported fill Chemical Social Aoki, N., et	m," <i>Chem. Abs</i> ety (1986).	plasminogen activator tracts 104:307-308, Abs Associated Plasminogen od 62:1118-1122, Grune	Activation	144639d, <i>i</i>	American

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Association, Inc. (1991).

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Bates, E.R., et al., "A Monoclonal Antibody Against the Platelet Glycoprotein IIb/IIIa Receptor Complex Prevents Platelet Aggregation and Thrombosis in a

Canine Model of Coronary Angioplasty," Circulation 84:2463-2469, American Heart



TECH CENTER 1600/2900 Page 3 of 40 APPLICATION NO. ATTY. DOCKET NO. 0609.4320003/JAG/AJK 09/977,283 FORM PTO-1449 APPLICANT Reed INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP October 16, 2001 1641 U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUB-FILING DATE INITIAL CLASS 133.1 06/07/1995 AA3 12/17/1996 424 5,585,089 Queen et al. Reed et al. 530 388.1 07/16/1996 AB3 11/03/1998 5,831,031 09/19/1997 530 387.3 AC3 6,114,506 09/05/2000 Reed et al. 6,280,730 B1 08/28/2001 Reed et al. 424 141.1 11/24/1997 AD3 ΑE AF AG AΗ ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS Yes AL3 EP 0 146 050 A2 06/26/1985 Europe No Yes AM3 EP 0 159 025 A2 10/23/1985 Europe No Yes 03/13/1986 PCT AN₃ WO 86/01533 A1 No Yes AO3 EP 0 187 658 A2 07/16/1986 Europe No Yes AP3 JP 61-268630 11/28/1986 Japan Х No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Bode, C., et al., "Conjugation to Antifibrin Fab' Enhances Fibrinolytic Potency of Single-Chain Urokinase Plasminogen Activator," Circulation 81:1974-1980, AR <u>3</u> American Heart Association, Inc. (1990). Bode, C., et al., "Antibody-Directed Urokinase: A Specific Fibrinolytic Agent," AS <u>3</u> Science 229:765-767, American Association for the Advancement of Science (1985). Bode, C., et al., "Thrombolysis by a Fibrin-specific Antibody Fab'-Urokinase ΑТ <u>3</u>

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Conjugate, " J. Mol. Cell. Cardiol. 19:335-341, Academic Press, Inc. (1987).





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INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 0609.4320003/JAG/AJK	APPLICATION NO. 09/977,283
APPLICANT Reed	
FILING DATE October 16, 2001	GROUP 1641

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	AL4	WO	87/05934 A1	10/08/1987	WIPO			Yes No
	AM4	WO	87/06263 A1	10/22/1987	WIPO			Yes No
	AN4	wo	88/01649 A1	03/10/1988	WIPO			Yes No
	A04	wo	88/03559 A1	05/19/1988	WIPO			Yes No
	AP4	EP	0 271 227 A2	06/15/1988	Europe			Yes No
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	AR	<u>4</u>	Fibrin and Ti	ssue Plasmino	y-directed Fibrinolysis gen Activator," <i>J. Bio</i> nd Molecular Biology,	1. Chem. 26	4:944-948,	
	AS	<u>4</u>	Boulianne, G. antibody," Na	L., et al., " ture 312:643-	Production of functions 646, Macmillan Journals	al chimaeri s Ltd. (198	c mouse/hu	uman
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communication to Applicant.

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APPLICATION NO.

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FORM PTO-1449 APPLICANT Reed 09/977,283

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INFORMATION DISCLOSURE STATEMENT Ree

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	AM5	WO	89/09817 Al	10/19/1989	WIPO			Yes No
	AN5	wo	91/09967 A1	07/11/1991	WIPO			Yes No
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	AS	<u>5</u>	by Tissue Pla	sminogen Acti	ntiplasmin Causes Thro vator in Experimental rt Association, Inc. (Pulmonary E	mbolism,"	
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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 0609.4320003/JAG/AJK	APPLICATION NO. 09/977,283
APPLICANT Reed	
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INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO. 0609.4320003/JAG/AJK	APPLICATION NO. 09/977,283
APPLICANT Reed	
FILING DATE October 16, 2001	GROUP 1641

								
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	AR	7	Collen, D., e 74:838-842, A	t al., "Syne merican Hear	ergism of thrombolytic ct Association, Inc. (1	agents in vi 1986).	vo," <i>Circ</i> ı	ılation
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INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 0609.4320003/JAG/AJK	APPLICATION NO. 09/977,283
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	AR	8	Monoclonals,'	Genetic Eng.	ts Develop New Techineering News 6:22-	24, Mary Ann Li	ebert, In	c. (1986).
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	***	8	Chem. 260:112	23-11230, Ame	uctural Gene Includ erican Association	of Biological C	hemists,	Inc. (1985).

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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	AR	<u>9</u>	Bolus Intrave Rabbits," Cir	nous Admini culation 84	Effective Thromboly stration of Vampire :244-253, American te coronary reocclu	Bat Sal Heart As	ivary Pl	asminogen n, Inc. (Activator in 1991).
	AS	9	recombinant h infusion," Ci 1986). Haber, E., et	uman tissue rculation 7	-type plasminogen a 3:347-352, American body Targeting as a New York Academy of	ctivator Heart A	: preven	tion by a on, Inc.	maintenance (February
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GROUP

APPLICATION NO. ATTY. DOCKET NO. 09/977,283 0609.4320003/JAG/AJK APPLICANT Reed

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FORM PTO-1449

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	AL								Yes No
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	AR	<u>10</u>	Harris, W.J. Biotechnol. 1	and Emery, S 11:42-44, El	5., "Therapeutic an sevier Science Pub	tibodies lishers	s - the c Ltd. (19	coming of	age," Trends
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	AT	10		2-terminal P	hary Structure of Hart of B eta Chain," B				
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INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 0609.4320003/JAG/AJK	APPLICATION NO. 09/977,283
APPLICANT Reed	
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	AS	11	64C5 in an E	t al., "Immur xtracorporeal erlag GmbH (1	nodetection of Hum 1 Chicken Model," 1 1985).	an Fibr <i>Thromb</i> .	in Using Haemost.	Monoclonal 54:524-52	Antibody-
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APPLICATION NO. ATTY. DOCKET NO. 09/977,283 0609.4320003/JAG/AJK FORM PTO-1449 APPLICANT Reed INFORMATION DISCLOSURE STATEMENT GROUP FILING DATE 1641 October 16, 2001

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	AT	13	Kimura, S., e	et al., "Acce itor," Blood	leration of Fibrinolys 66:157-160 Grune and	sis by the <i>N</i> - Stratton, In	Terminal	Peptide of α_2 -
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	AS	14	Kudryk, B., e Region of Fib	et al., "Spe orin," Mol.	cificity of a Mono Immunol. 21:89-94,	clonal Antibody : Pergamon Press I	for the NH Ltd. (1984	₂ -Terminal).
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ATTY. DOCKET NO.
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		21	Milstein, C.	and Cuello,	A.C., "Hybrid hybric Nature 305:537-540, Ma	domas and their	r use in ines Ltd.	(1983).
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	AS	23	Munro, A., "U Ltd. (1984).	Jses of chimae	eric antibodies," N	ature 312:597,	Macmillan	Journals
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	AR	24	Neuberger, M.	S., et al., "	A hapten-specific chimae ction," <i>Nature 314</i> :268-2	eric IgE a		
	AS	24			J., "Quantitative Estime 194:355-359, Macmillan			
	AT	24	Nossel, H. L. plasmin as a (Ltd. (1981).	, "Relative p determinant o	roteolysis of the fibring f thrombosis," <i>Nature 2</i> :	nogen Bβ c	hain by th , Macmilla	rombin and n Journals
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	AR	25	Oi, V.T. and Publishing Co		S.L. "Chimeric Antibo	odies," <i>BioTech</i>	niques 4:2	214-221, Eato		
	AS	<u>25</u>	with Synthet:	Pacella, B.L., et al., "Induction of Fibrin-Specific Antibodies by Immunization with Synthetic Peptides That Correspond to Amino Termini of Thrombin Cleavage Sites," Mol. Immunol. 20:521-527, Pergamon Press Ltd. (1983).						
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	AR	<u>26</u>	Leukemia Cell	s (RBL-1) w	"Selective Binding a ith Immunoglobulin b - 125:1201-1209, Will	E-Biotin and Av	idin-Gluco	se Oxidase
	AS	<u>26</u>	Pizzo, S.V., Fibrin, " J. H Inc. (1973).	et al., "Th Biol. Chem.	e Effect of Plasmin 248:4574-4583, Amer	on the Subunit ican Society of	Structure Biologica	of Human l Chemists,
	AT	26	Antiplasmin I	induced by I	nges in Antigenic Sonteraction with Planogical Chemists, Inc	smin," J. Biol.		
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	AS	27			eration of Plasma Clo c. Am. Phys. 101:250-2			
	AT	27	Reed, G.L., e and Specifici Science (1989	ty of Plasmir	tive Inhibition of $lpha_2$ nogen Activators," $J.$	-Antiplasmin Am. Coll. Ca	Augments	the Potency:2A, Elsevier
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	AS	30	Runge, M.S., et al., "Increasing Selectivity of Plasminogen Activators wit Antibodies," Clin. Res. 36:501-506, American Federation for Clinical Resea (1988).					
	Runge, M.S., et al., "Antibody-enhanced thrombolysis: Targeting of tissue plasminogen activator in vivo," Proc. Natl. Acad. Sci. USA 84:7659-7662, National Academy of Sciences (1987).							
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	AS	Sakata, Y. and Aoki, N., "Significance of Cross-Linking of α_2 -Plasmin Inhibitor Fibrin in Inhibition of Fibrinolysis and in Hemostasis," <i>J. Clin. Invest.</i> 69:536 542, Rockefeller University Press, Inc. (1982).						
	Scheefers-Borchel, U., et al., "Discrimination between fibrin and fibrinoger monoclonal antibody against a synthetic peptide," <i>Proc. Natl. Acad. Sci. US. 82</i> :7091-7095, National Academy of Sciences (1985).							
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ATTY. DOCKET NO. 0609.4320003/JAG/AJK

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	AR	32	Schnee, J.M., targeted plas National Acad	minogen activ	nstruction and expr vator," <i>Proc. Natl.</i> ces (1987).	ession of a rec Acad. Sci. USA	ombinant 84:6904-	antibody- 6908,
	AS Sevilla, C.L., et al., "Plasminogen Activator-Anti-Human Fibrinogen Conjug Fed. Proc. 44:1073, Abstract No. 3872, Federation of Americans Societies f Experimental Biology (1985).							Conjugate," ties for
	AT	32	Sevilla, C.L. Biochem. Biop	, et al., "Pl hys. Res. Con	lasminogen Activato nmun. 130:91-96, Ac	r-Anti-Human Fi ademic Press, I	brinogen nc. (1985	Conjugate,").
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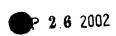
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	AS $\frac{33}{2}$ Sharon, J., et al., "Expression of a V_HC_X chimaeric protein in mouse myeloma cells," Nature 309:364-367, Macmillan Journals Ltd. (1984).						nyeloma	
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	AR	34	Sobel, J.H., et al., "Characterization of a Crosslink-Containing Fragment Deriv from the α Polymer of Human Fibrin and Its Application in Immunologic Studies using Monoclonal Antibodies," <i>Thrombos. and Haemostas.</i> 46:240, Abstract No. 075 F.K. Schattauer Verlagsgesellschaft mbH (1981).					
	AS	34	Soe, G., et al., "A rapid latex immunoassay for the detection of plasmin- α_2 -plasmin inhibitor complex. Utilization of two monoclonal antibodies differentially recognizing respective components of the complex," Blood Coagulation and Fibrinolysis 6:249-258, Rapid Communications of Oxford Ltd. (May 1995).					
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	АТ	35	Sumi, Y., et al., "Structure of the Carboxyl-Terminal Half of Human α_2 -Plasmin Inhibitor Deduced from That of cDNA," J. Biochem. 100:1399-1402, Japanese Biochemical Society (1986).						
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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	APPLICANT Reed				
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	AR	38		: applicat	erger, M.S., "Produc ion to DNA polymeras hers (1986).				
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	AS	40	Pending Non-Provisional U.S. Patent Application No. 08/934,000, Reed, G.L., filed September 19, 1997.						
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